

ARBITRATION DETAILS FOR QUARTER ENDED SEPTEMBER 30, 2024

(DEPOSITORY Vs BENEFICIARY OWNER)

Pending at the beginning of the quarter (Jul-Sept 2024)	Received during the quarter (Jul-Sept 2024)	Awards passed during the quarter (Jul-Sept 2024)				Pending at the end of the quarter (Jul-Sept 2024)
		In favour of depository		In favour of BO		
		No.	Rs.	No.	Rs.	
1	1*	N.A.	N.A.	N.A.	N.A.	1*

*The matter is filed against CDSL and 2 DPs. The Hon'ble Tribunal is yet to be constituted and the matter is to be concluded within 4 months from the date of reference i.e. date on which Presiding Arbitrator provides consent.

(DP Vs BENEFICIARY OWNER)

Pending at the beginning of the (Jul-Sept 2024)	Received during the quarter (Jul-Sept 2024)	Awards passed during the (Jul-Sept 2024)				Pending at the end of the quarter (Jul-Sept 2024)
		In favour of DP		In favour of BO		
		No.	Rs.	No.	Rs.	
3	1 ^	N.A.	-	3	45.000**	1

^The said case is the same as mentioned under Depository v Beneficiary Owner, received during the quarter and pending at the end of the quarter.

**The DP has intimated intimation to file an appeal against the awards passed in all three matters

(DEPOSITORY Vs DP)

Pending at the beginning of the quarter (Jul-Sept 2024)	Received during the quarter (Jul-Sept 2024)	Awards passed during the quarter (Jul-Sept 2024)				Pending at the end of the quarter (Jul-Sept 2024)
		In favour of DP		In favour of Depository		
		No.	Rs.	No.	Rs.	
NIL						

Details of arbitration cases which are pending for more than Six months:

Type of the parties	Name of the Party	Date of appointment of Arbitrator	Nature of Claim
NIL			